

RFA C1

Certified Messaging Compliance Solution

Let RFA C1 help you with compliance before it becomes an issue.

The Hedge Fund Industry faces a growing number of state and federal regulations that dictate how and when enterprises share information, with and among employees, partners and customers, as well as requirements for storing and retrieving records of these exchanges.

RFA can help your firm navigate the changing and potentially turbulent waters of compliance. With RFA Compliance One [C1], you will have a turn-key messaging compliance system.

Comply. Control. Coordinate.

RFA C1 is a pre-configured solution that can easily be added to your existing network, collecting and replicating Email, Instant Messaging and Bloomberg, providing a single point of response for your compliance requests or internal tracking. Embedded in this solution are tools for your Compliance Officer.

Worried about tomorrow's compliance regulations?

RFA readies your firm for new regulatory legislation and keeps you adhered to best practices for investor comfort by staying current with regulatory compliance issues.

Foresight. Forecast. Follow-through.

Whether you need on-site data-warehousing or off-site message storing capabilities, RFA can help your firm find the appropriate mail and messaging archive and additional compliance products.

- Automatic tracking and archival of all investor correspondence
- Cutting edge Email and IM compliance management
- Regulatory compliance updates
- Intrusion detection systems
- Security and Monitoring of your infrastructure

Secure messaging management

RFA provides a **single source** for responding to your compliance requests or internal deal tracking. RFA C1 utilizes a unique solution to manage and maintain compliant electronic records by:

- Archival and permanent storage of all Email communications
- Archival and permanent storage of all IM communications
- Disabling of any non-approved IM products other than your approved platform
- Downloading of Bloomberg IM/Email directly from the source for addition to your compliance server
- Review tools for your Compliance Officer for internal management



Features & Benefits

- A single server, designed with a high degree of redundancy and 24X7 off-site monitoring
- Mailbox Management
- SEC-approved Email and IM compliance software at a lower cost of ownership than outsourced solutions
- An IM component that will actively disable any other IM client not listed as an authorized user
- Bloomberg Email capture/archive capabilities
- Compliance Officer tools for deal tracking and data management

To learn more about RFA, and the Compliance One Solution, please contact us:



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